

REPORT DOCUMENTATION PAGE					Form Approved OMB No. 0704-0188	
The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.						
PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.						
1. REPORT DATE (DD-MM-YYYY) 11/14/2009		2. REPORT TYPE FINAL REPORT		3. DATES COVERED (From - To) 05/15/06 - 11/14/2009		
4. TITLE AND SUBTITLE Final Report--Buried Target Detection using a 3-band LWIR Airborne Sensor				5a. CONTRACT NUMBER W911NF-06-C-0046		
				5b. GRANT NUMBER		
				5c. PROGRAM ELEMENT NUMBER		
6. AUTHOR(S) Levi Kennedy				5d. PROJECT NUMBER		
				5e. TASK NUMBER		
				5f. WORK UNIT NUMBER		
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Signal Innovations Group, Inc. 1009 Slater Rd., Ste. 200 Durham, NC 27703				8. PERFORMING ORGANIZATION REPORT NUMBER SIG.ARO0.028.FINAL		
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) US Army Research Office ATTN: AMSRD-ARL-RO-EV P.O. Box 12211 RTP, NC 27709-2211				10. SPONSOR/MONITOR'S ACRONYM(S)		
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)		
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release; distribution unlimited						
13. SUPPLEMENTARY NOTES						
14. ABSTRACT  The comprehensive approach, using both spectral and spatial features, VBGMM for image segmentation to find ROIs, and RVM to classify ROIs that are targets works far better than the windowed RX detector alone in detecting buried targets.. Pressure plates are the target best detected, and the 155 rounds are the most difficult to detect. GMMRX as a feature used in the comprehensive approach performs best at higher probability of detection levels, particularly when restricting the search zone only to the road. In a setting where targets are placed within a more heterogeneous background (i.e., off road or side of road), the RX feature would likely miss many more targets than GMMRX, providing a clear advantage in using GMMRX.						
15. SUBJECT TERMS						
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON	
a. REPORT	b. ABSTRACT	c. THIS PAGE			Samantha Venters	
U	U	U	U	26	19b. TELEPHONE NUMBER (Include area code) 919-323-3453	

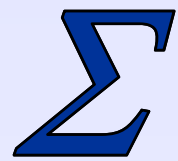


# Buried Target Detection using a 3-band LWIR Airborne Sensor

---

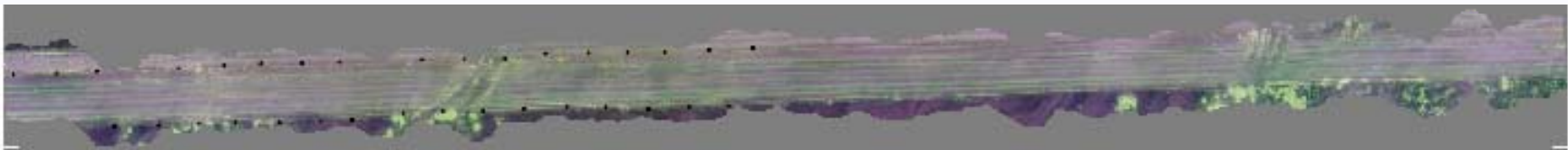
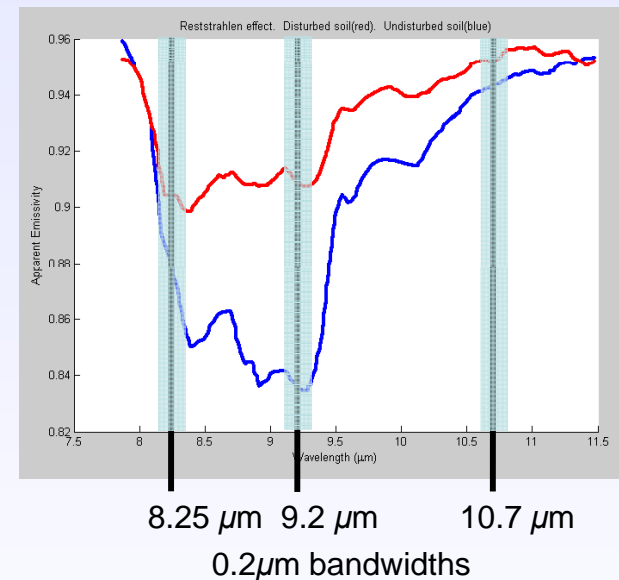
Signal Innovations Group, Inc.

---

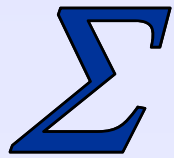


# Three-Band Data from SCISSOR (Shadow-Class Infrared Spectral SensOR)

- Three bands chosen within and just outside the Reststrahlen band.
- SCISSOR data collected using a helicopter flyover.
- Buried targets marked by fiducials including pressure plates, 155 rounds, and empty holes.



Flight path  
→



## Area of study

Set of fiducial marked targets, including 14 pressure plates

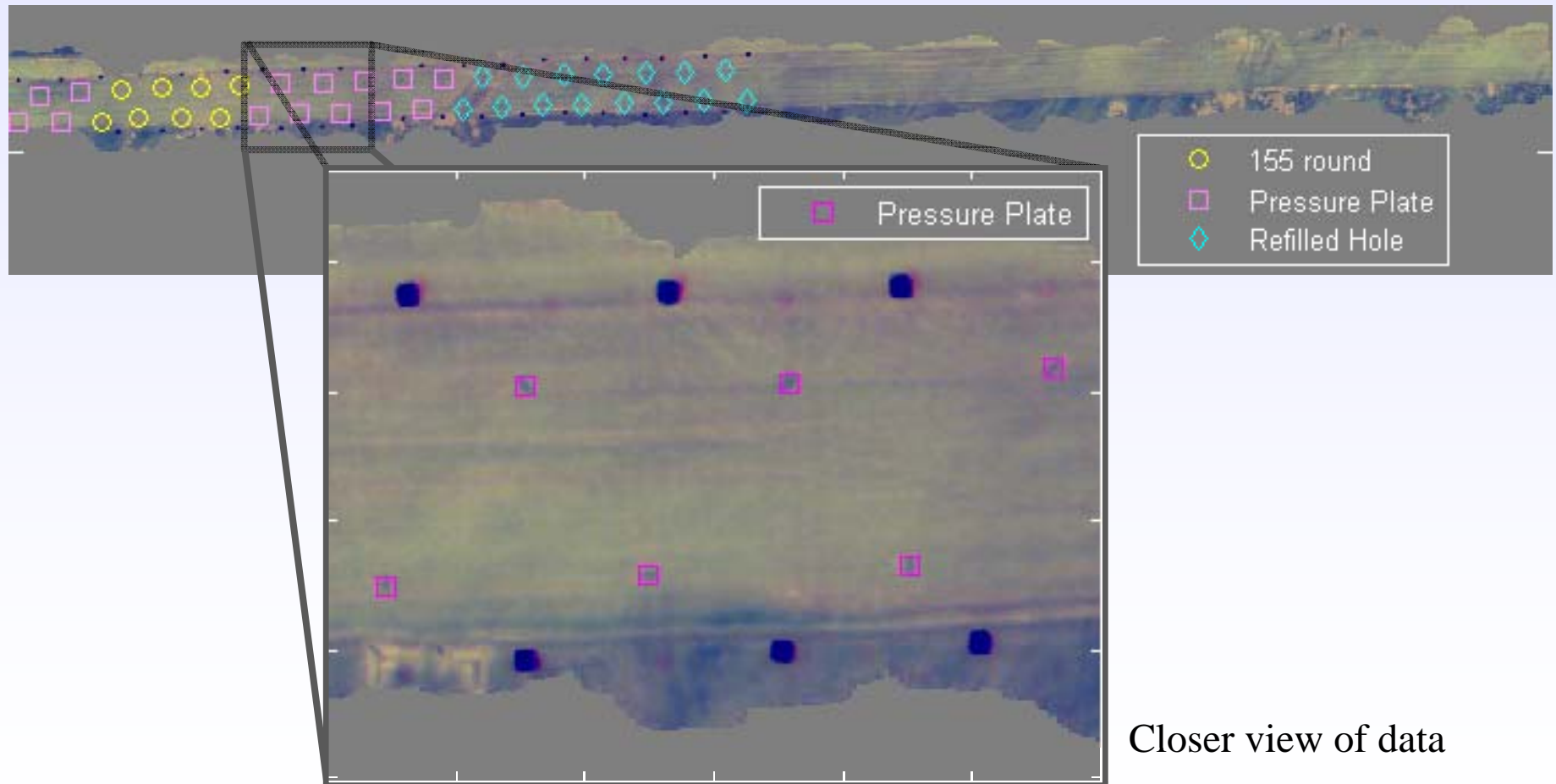
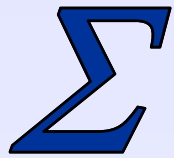


Image: scan\_1500\_20\_off\_cm\_EW\_run1\_090723\_063623\_rad\_mfilt\_median\_3band\_12600-14700\_TRIGEO\_rotreg\_merge\_geomerge.xv

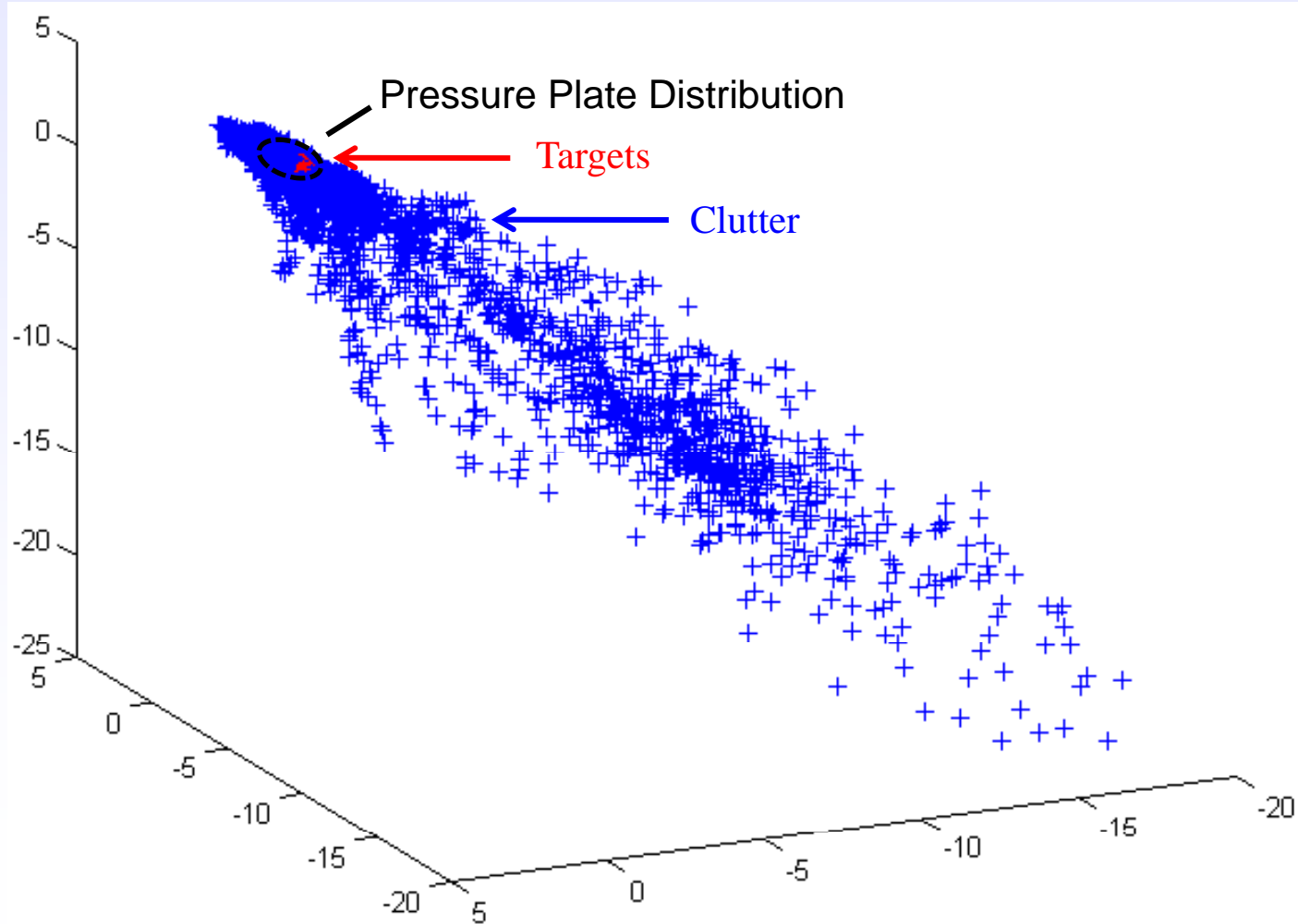
11 February 2010

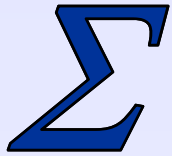
**SIGNAL INNOVATIONS GROUP**



## Scatter Plot of Whitenened Radiance Data

Spectral features are not enough to clearly separate targets from background

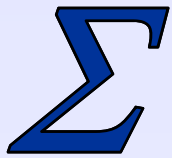




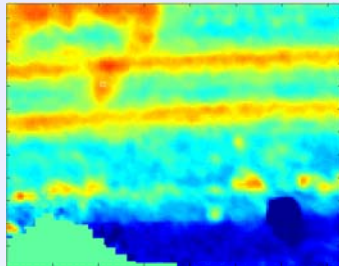
## Objectives

---

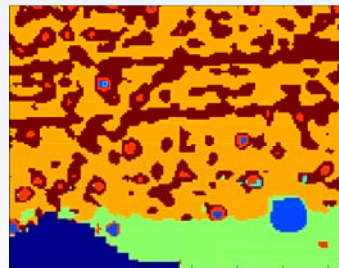
- **Maximize detection of buried targets while minimizing false alarms.**
- **Explore a variety of RX-family detectors that could be useful for buried target detection within varying background complexities.**
- **Provide an overall framework for buried target detection using a combination of anomaly detection followed by spectral and spatial feature classification.**



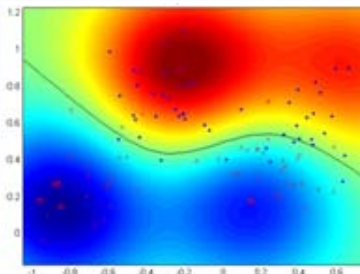
## Overall Approach



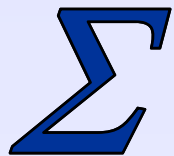
- **Features: Spectral and relative spatial information**



- **Image segmentation to find regions of interest (ROIs) using a variational Bayesian Gaussian mixture model.**

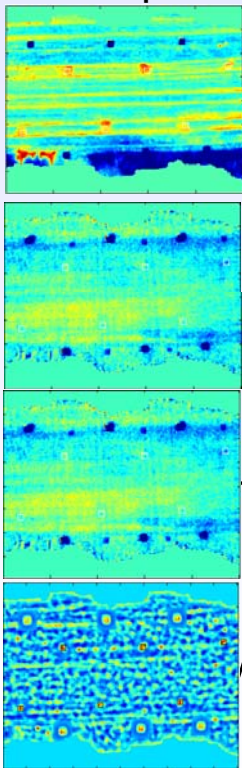


- **Classification of each ROI as a target or clutter using a relevant vector machine (RVM).**

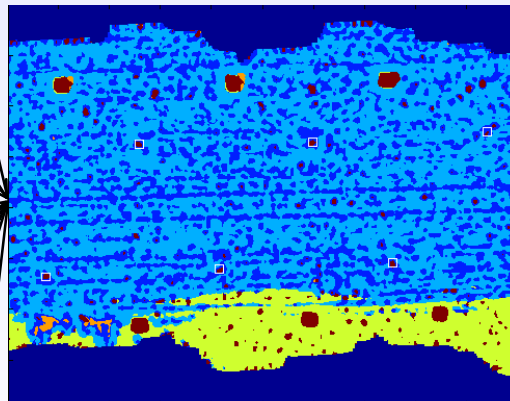


# Overall Process

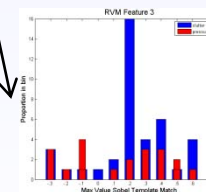
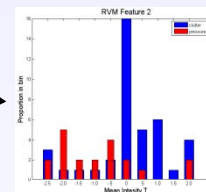
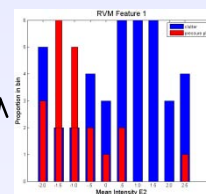
## Spectral and Spatial Features



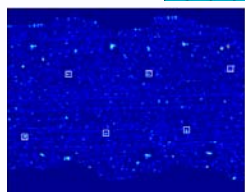
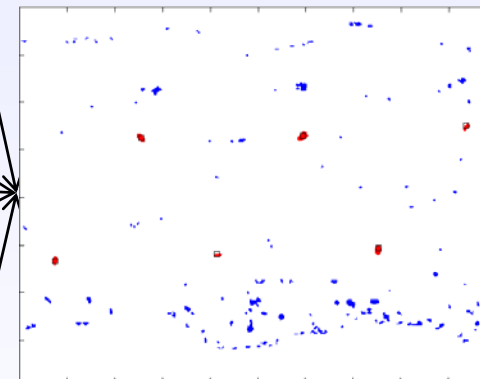
VBGMM for segmentation and ROI designation



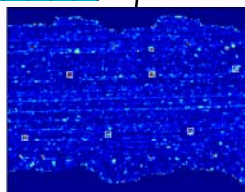
## ROI Features



Target classification using RVM



or



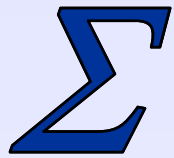
RX

GMMRX

11 February 2010

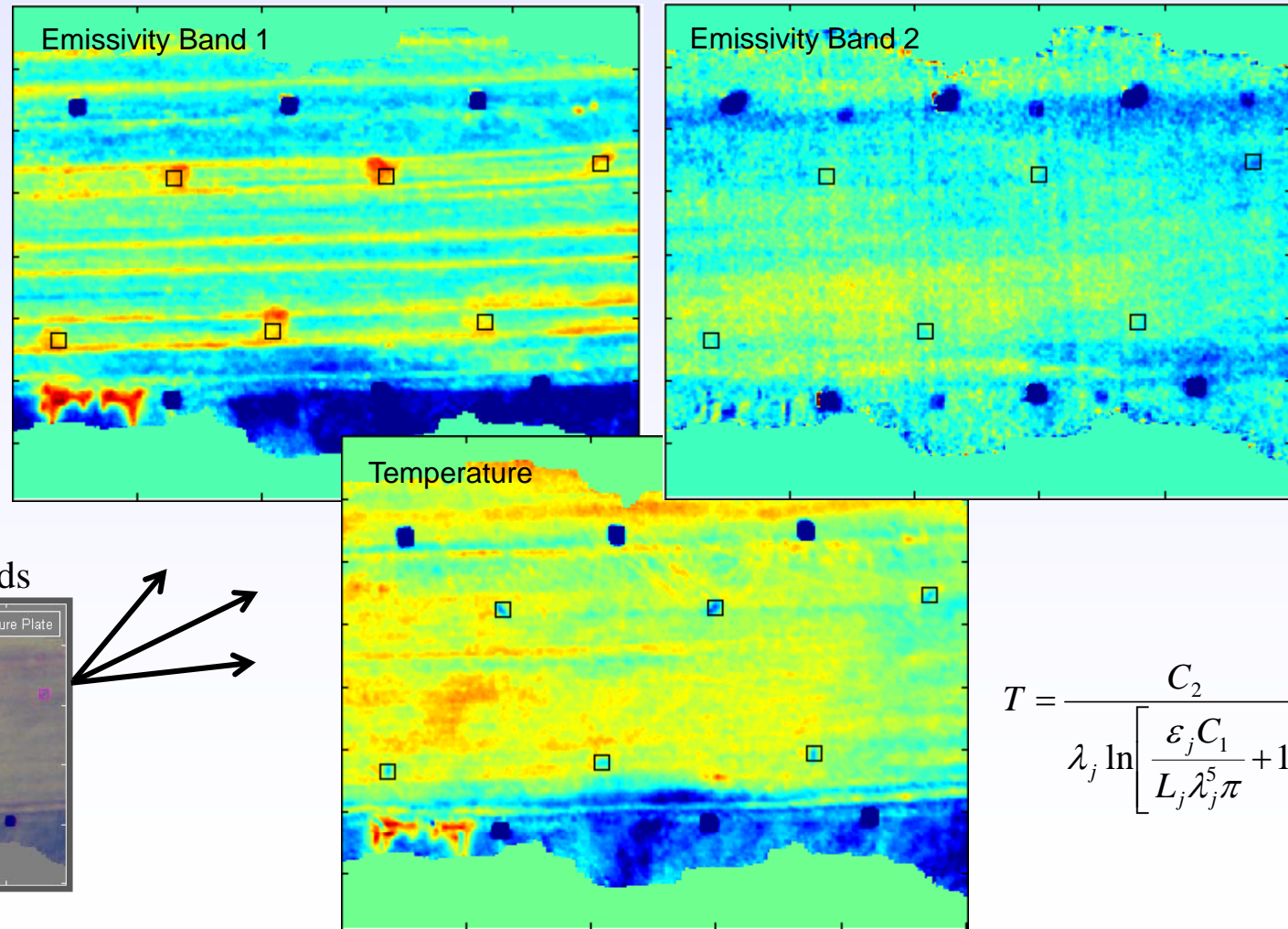
**SIGNAL INNOVATIONS GROUP**



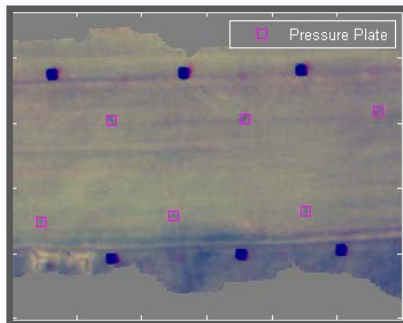


# Spectral Features

**2 Bands of emissivity and temperature (emissivity normalization method)**



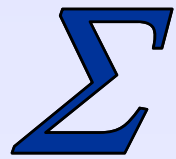
**3 Radiance Bands**



$$T = \frac{C_2}{\lambda_j \ln \left[ \frac{\epsilon_j C_1}{L_j \lambda_j^5 \pi} + 1 \right]}$$

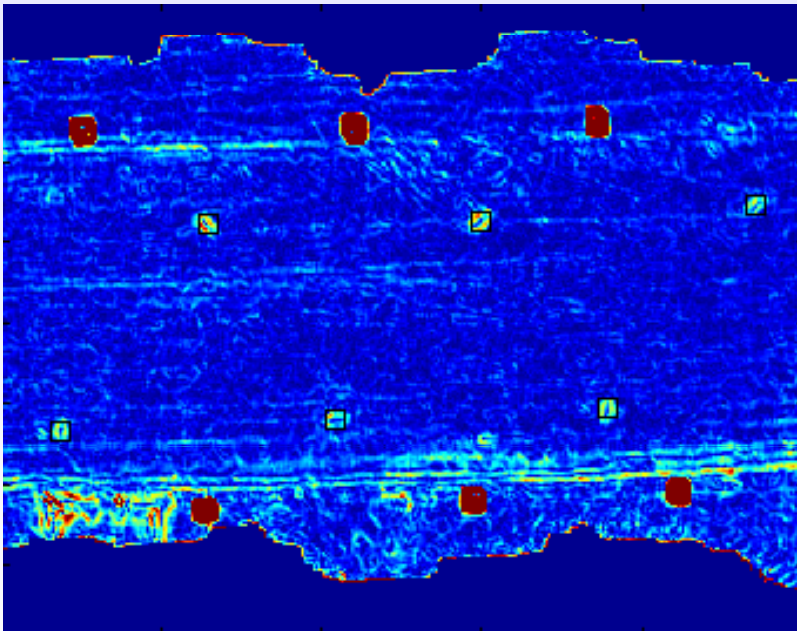
11 February 2010

**SIGNAL INNOVATIONS GROUP**



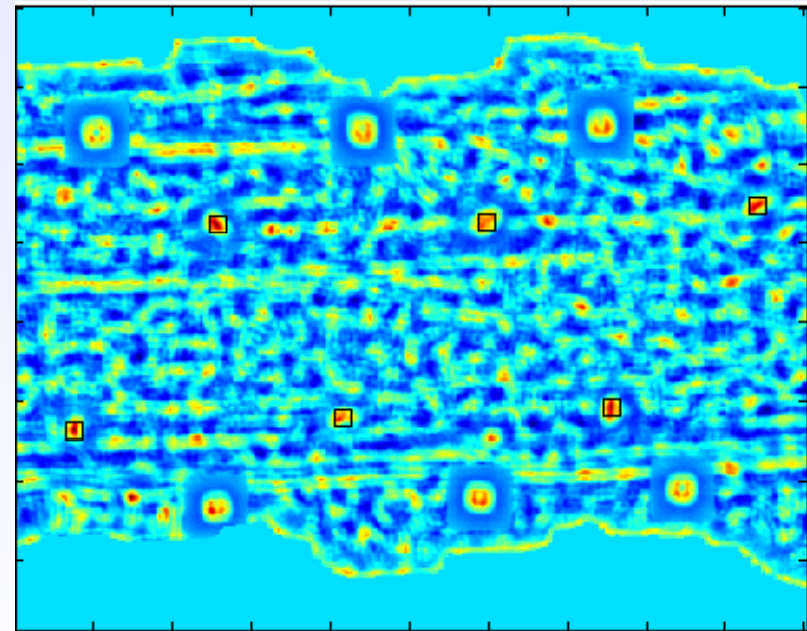
# Spatial Features: Gradient (Sobel) and Size/Shape Templates

Sobel operator with template matching



Edge detection with Sobel operator

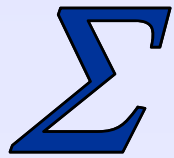
Step 1



Annular template matching on the Sobel image

Step 2

A Sobel operator is used on the spectral data to find edges within the image, revealing the buried targets as rings. An annular template, the size of the target rings, is applied to the Sobel image, providing a final image displaying where the centers of the rings are (likely target locations).

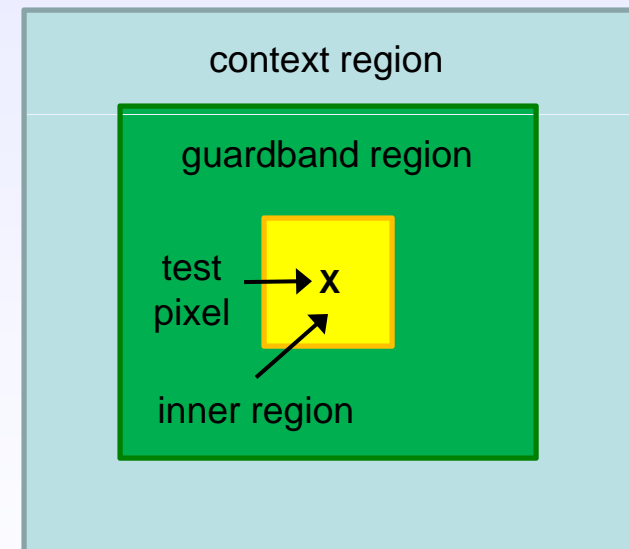


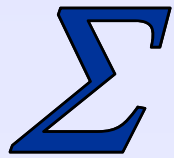
## Spatial Anomaly Measure: RX family

- Standard RX anomaly detector assumes that points in context region are from a multivariate Gaussian density

$$RX = \left( \bar{X}_{inner} - \bar{X}_{context} \right)^T \Sigma_{context}^{-1} \left( \bar{X}_{inner} - \bar{X}_{context} \right)$$

- This assumption is violated when the local context region is abruptly changing or composed of multiple types of background signals
- **NEW APPROACH:** Model context region pixels with a Gaussian mixture model using local and global context
  - Use a variational Bayesian Gaussian mixture model (GMMRX) to learn clusters globally for an entire image
  - Use globally learned clusters to create local GMMs (local GMMRX) for each context region

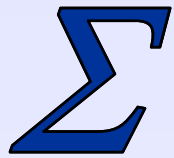




# Comparison of approaches

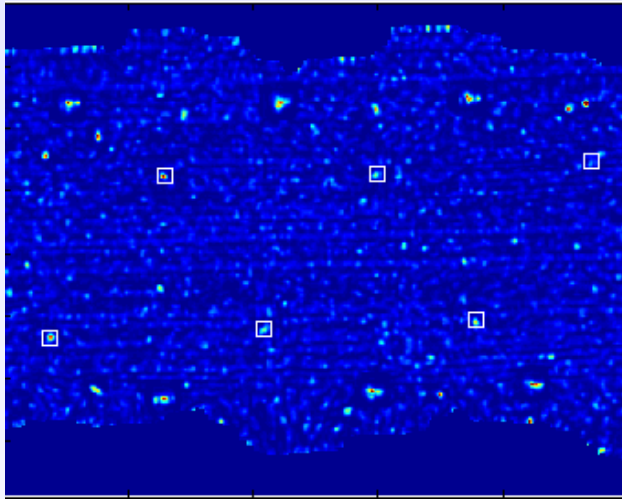
	RX	GMMRX	Local GMMRX	Min Local GMMRX
Strengths	Detecting anomalies in relatively smooth, well-behaved regions	<p>Detecting anomalies in relatively smooth, well-behaved regions (usually)</p> <p>Detecting anomalies in rapidly varying background</p>	<p>Detecting anomalies in smooth regions</p> <p>Detecting anomalies in rapidly varying background</p> <p>Detecting anomalies that differ from at least <u>some</u> local context clusters</p>	<p>Detecting anomalies in smooth regions</p> <p>Detecting anomalies that differ from <u>all</u> local context clusters</p>
Weaknesses	Detecting anomalies in rapidly varying background	Detecting anomalies that do not differ substantially from globally-learned parameters (even if they are noticeably different from local context pixels)	May detect more unwanted anomalies since any anomaly that differs from at least some local context clusters will be detected	Detecting anomalies that differ from some local context clusters but not all (can cause a problem when trying to detect anomalies in rapidly varying background)



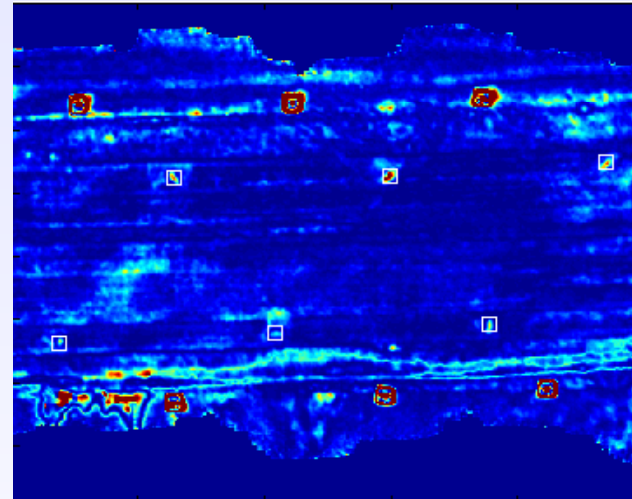


## Spatial Features: RX family

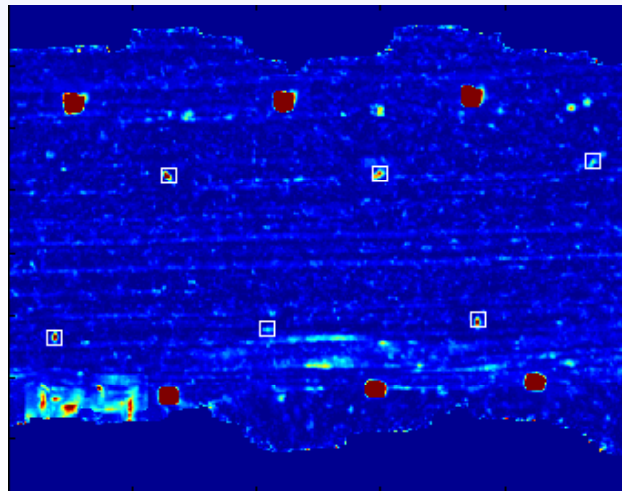
RX



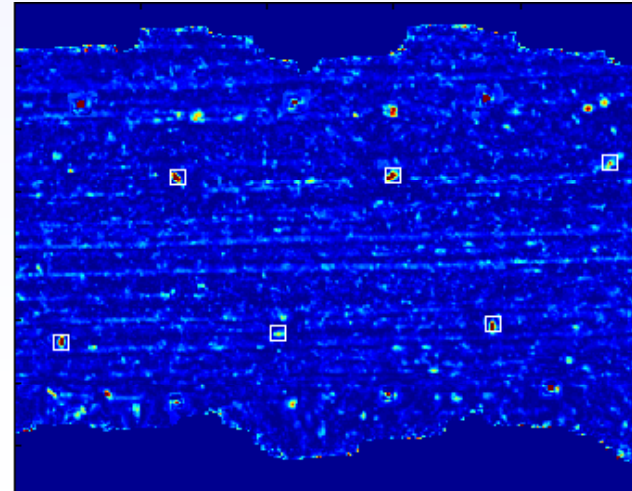
GMMRX

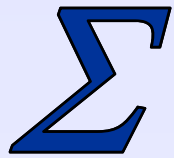


Local GMMRX



Min Local GMMRX

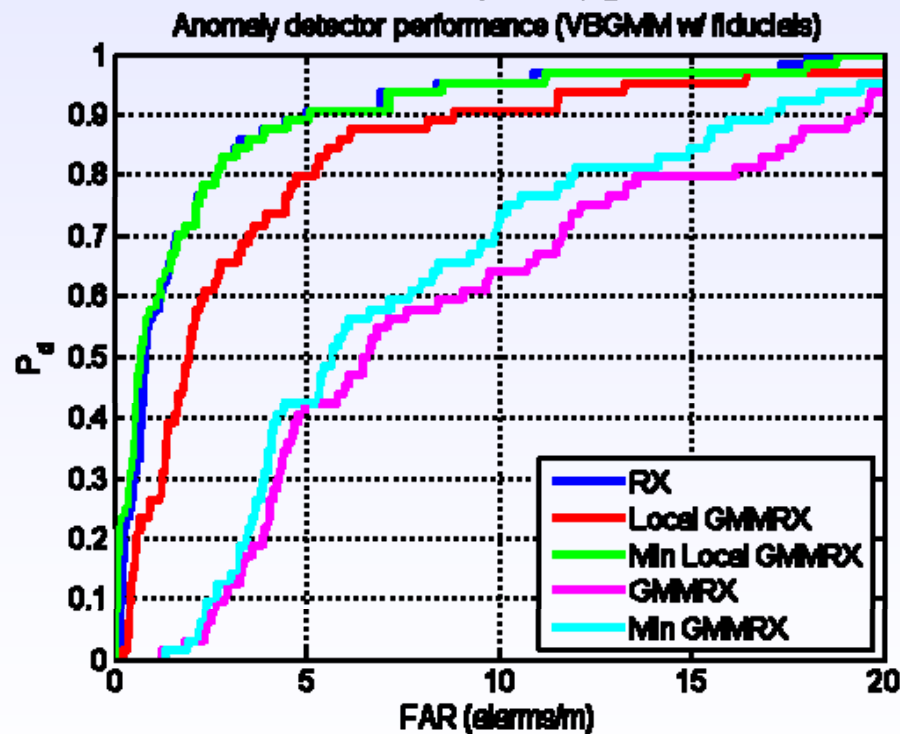




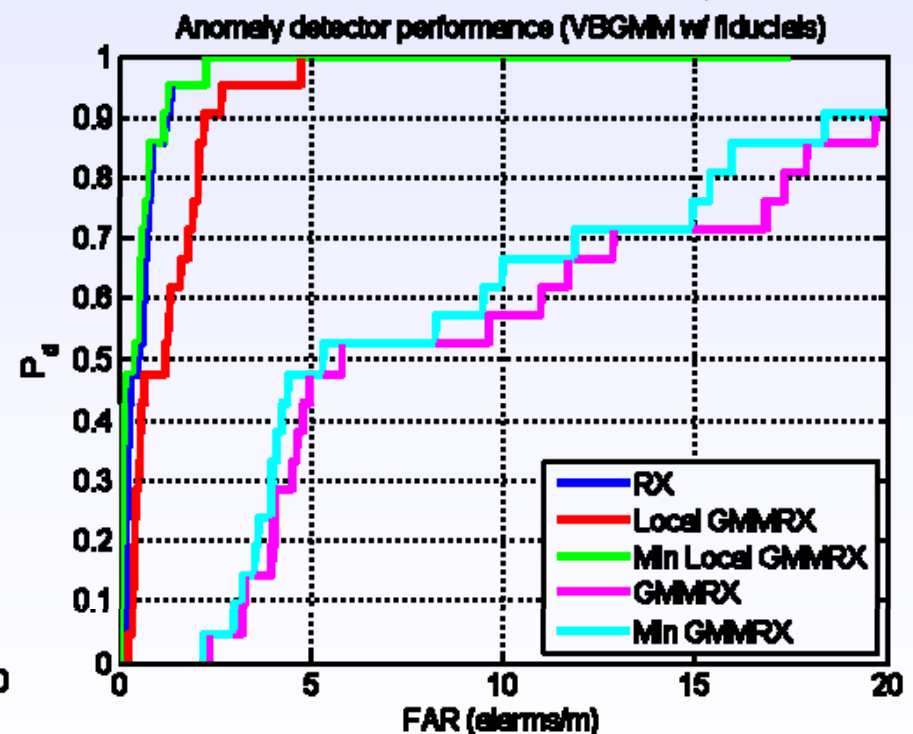
## RX Performance

RX detector only, not with the comprehensive detection process.

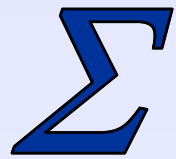
All Target Types



Pressure Plates Only

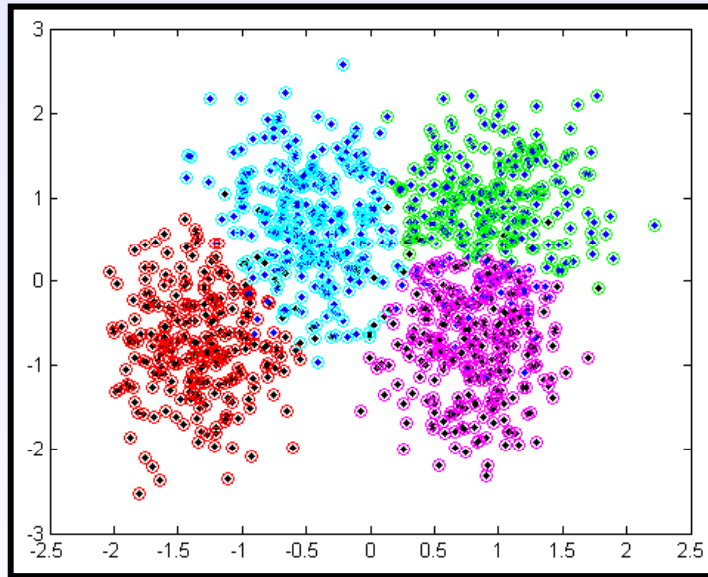


Since they perform the best, the standard RX and the Min GMMRX are both used as features in the overall target detection process described. Min GMMRX is referred to as GMMRX in the remainder of the slides for convenience.

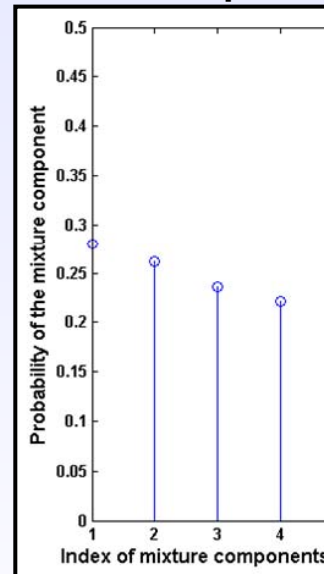


# Unsupervised clustering using VBGMM

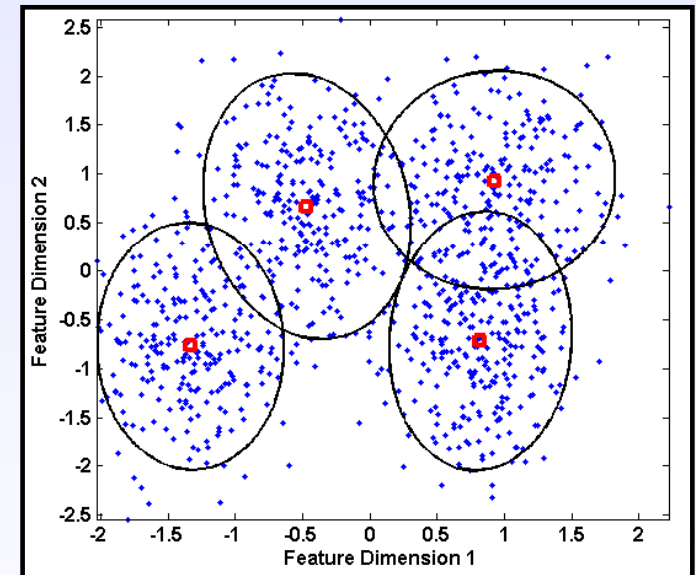
2D data sampled from 4 Gaussians



4 VBGMM non-zero mixture components



VBGMM clusters

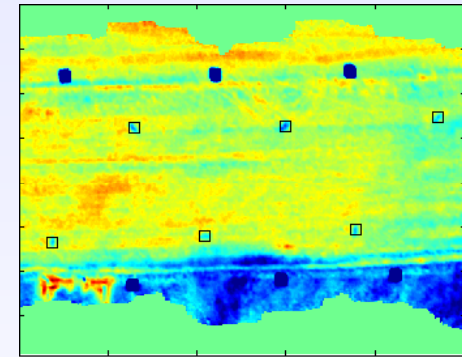
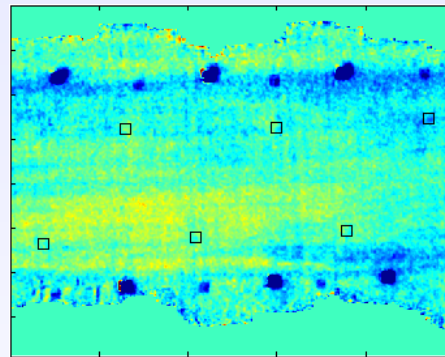
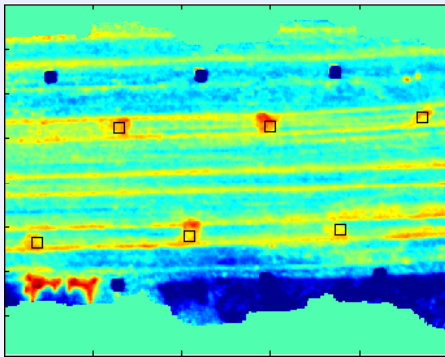


- The number of clusters is determined by the algorithm.
- Complex models are penalized, guiding the algorithm to choose the best fit using the least number of clusters.

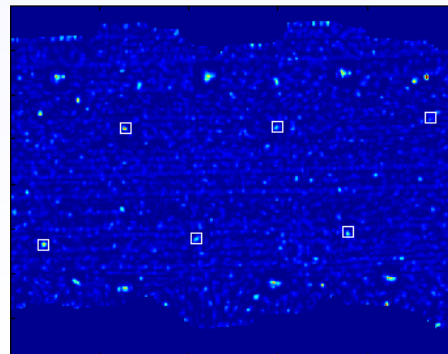
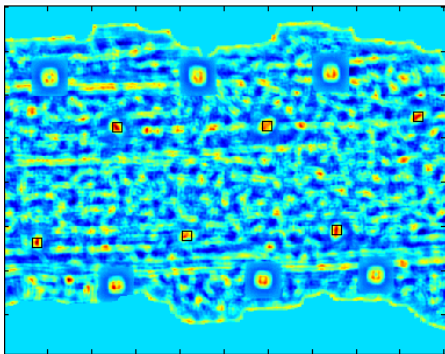
$$F_m[q] = \int d\theta q(\theta) q(k) \log \frac{p(D, \theta, k)}{q(\theta) q(k)} = \langle \log \frac{p(D, k | \theta)}{q(k)} \rangle_{q(\theta)} - KL[q(\theta) || p(\theta)]$$

# $\Sigma$ Features used for VBGMM image segmentation

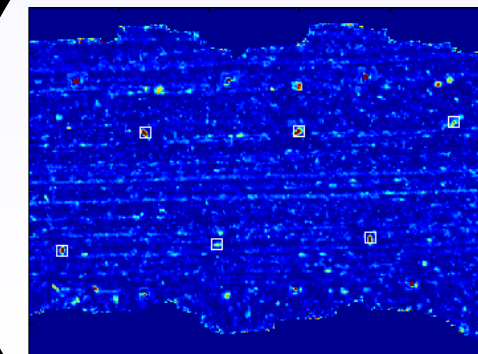
- Spectral Features(E2, E3, T)



- Spatial Features (Sobel with Template Matching, RX)



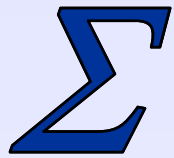
or



RX

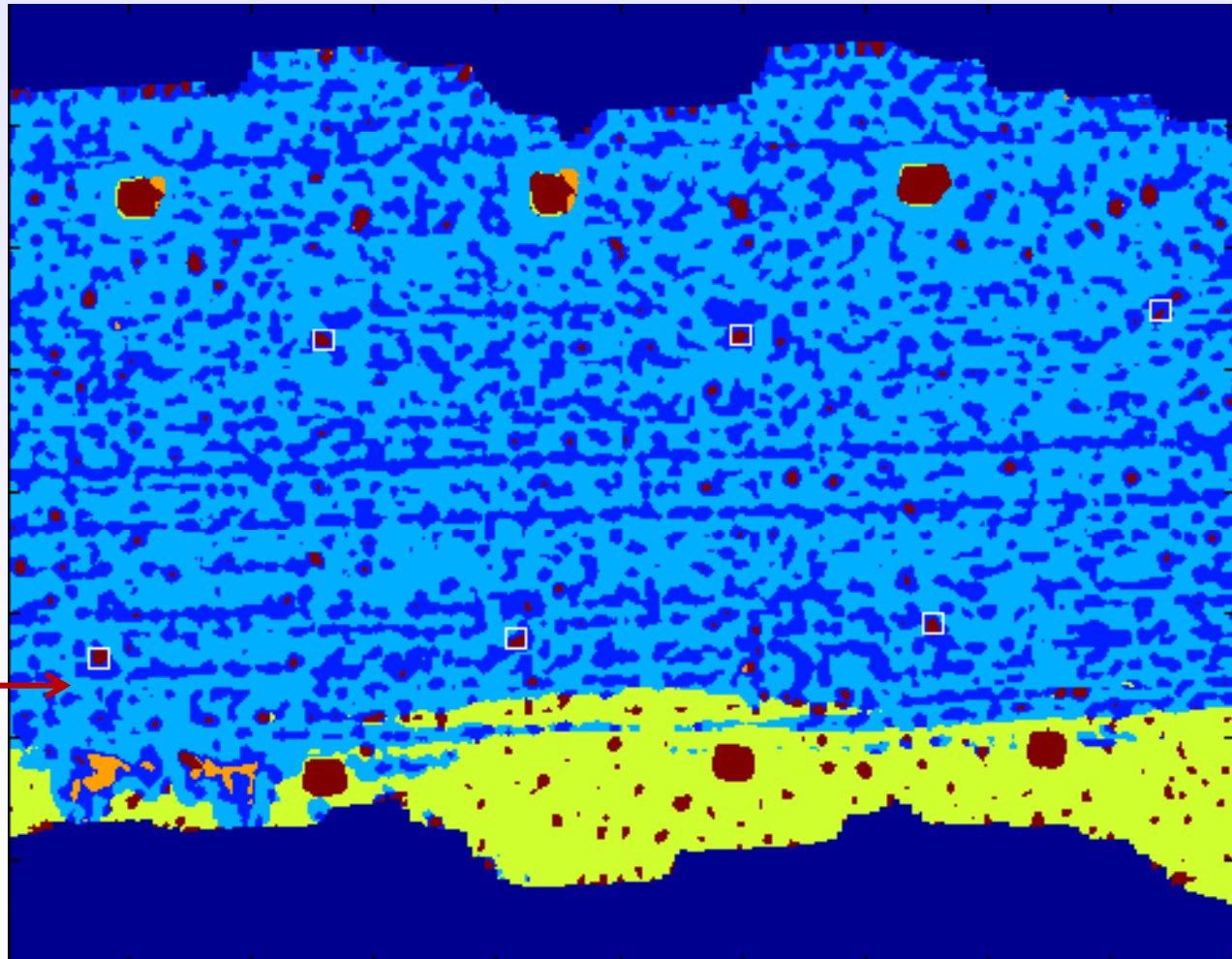
GMMRX



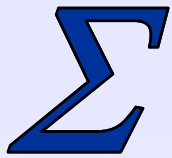


## Image segmentation using VBGM

Regions of  
Interest

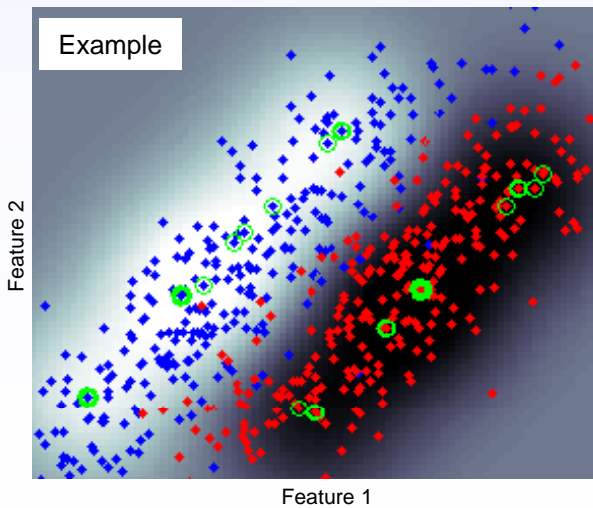
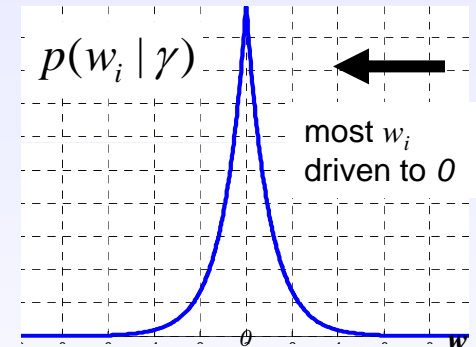
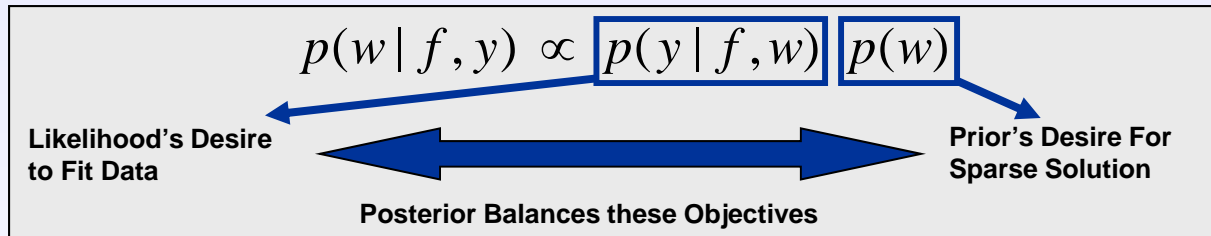


Groups of brown pixels designated as regions of interest (ROI).



# Relevance Vector Machine

- RVM functional form is *kernel-space hyperplane classifier*:  $f(\mathbf{x}) = \mathbf{w}^T \phi_n(\mathbf{x})$
- Bayesian learning: *prior on weights to induce sparse solution (i.e., most weights driven to zero) - learn weights by maximizing posterior*

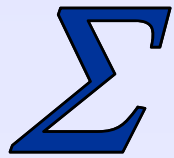


- Example - Automatic relevance determination (ARD)
  - Adopt hierarchical Bayes model – *zero-mean Gaussian prior with exponential hyper-prior on independent variances,  $t_i$*

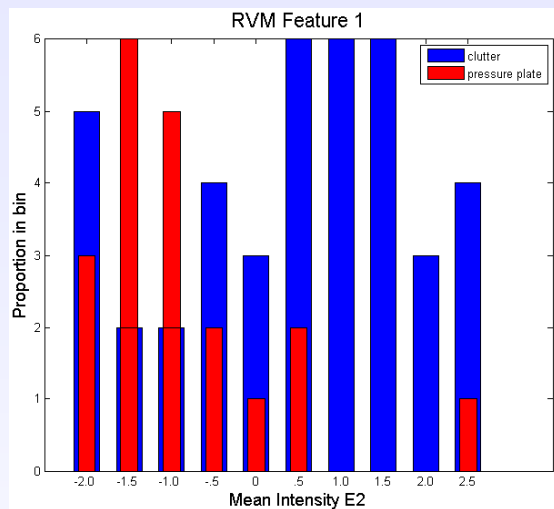
$$p(w_i | \tau_i) = N(w_i | 0, \tau_i) \quad p(\tau_i | \gamma) = (\gamma/2) \exp\{-\gamma \tau_i / 2\}$$

- Integration with respect to  $t_i$  recovers Laplacian form
  - Allows for expectation-maximization (EM) iterative optimization

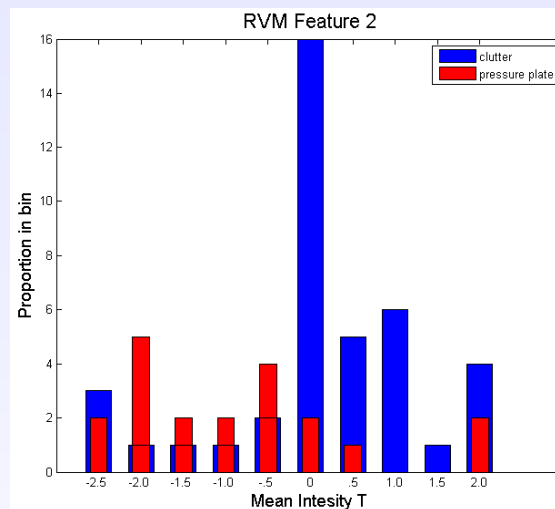
$$p(w_i | \gamma) = \int_0^\infty p(w_i | \tau_i) p(\tau_i | \gamma) d\tau_i = (\gamma/2) \exp\{-\sqrt{\gamma} |w_i|\}$$



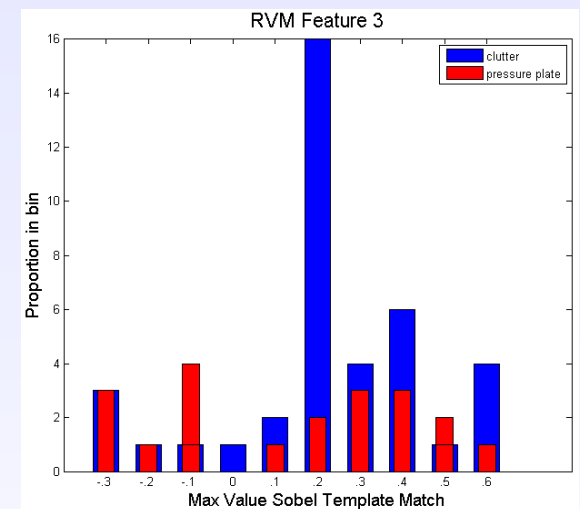
## ROI Features used with RVM



Mean Intensity of Emissivity Band 2

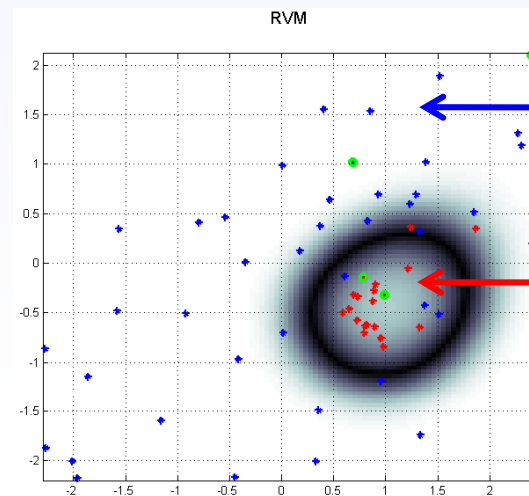


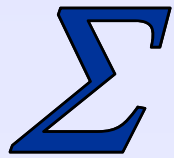
Mean Intensity of Temperature



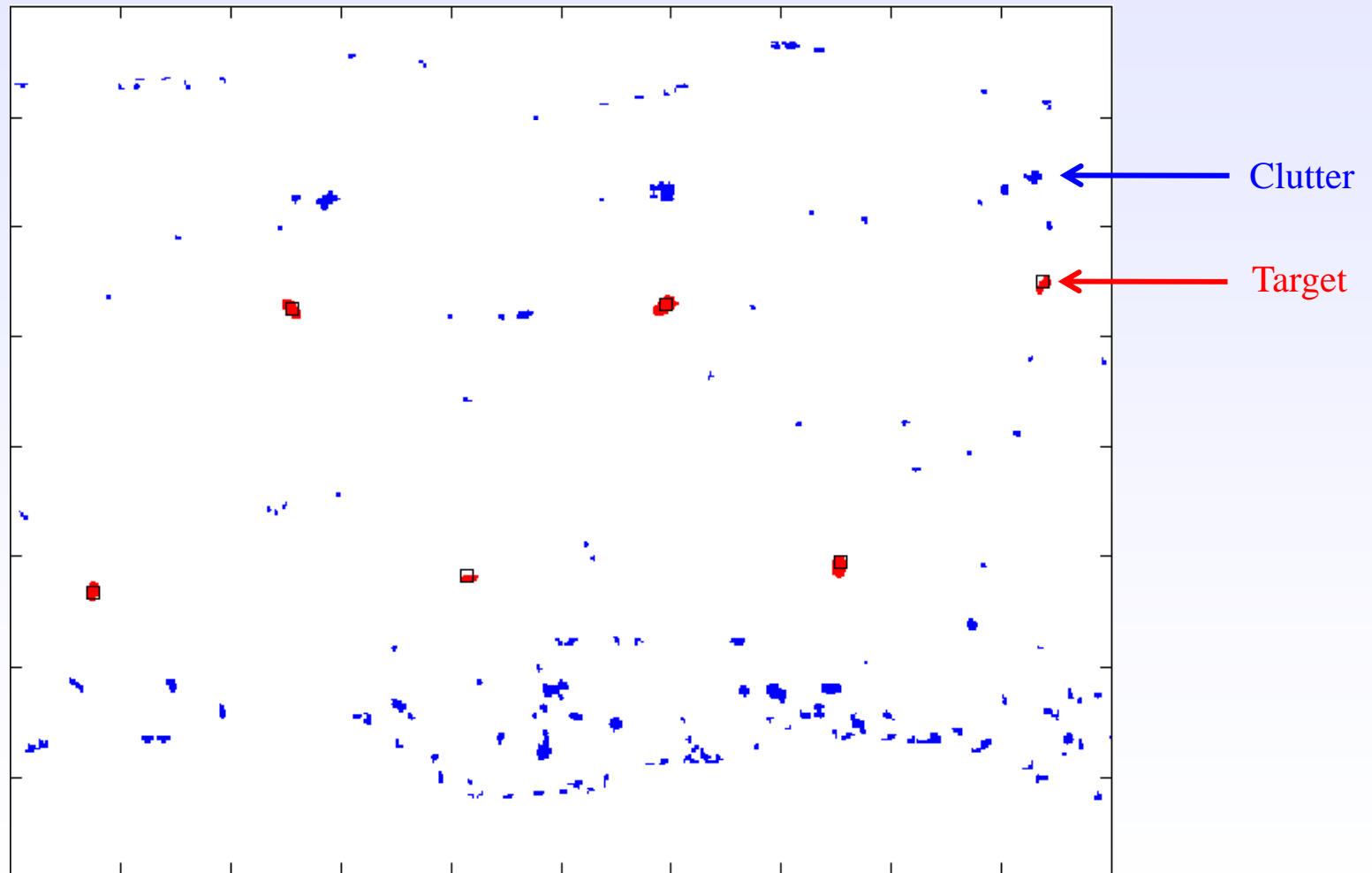
Maximum Value of Sobel Template Match

2-Dim. RVM  
classification map  
(White areas are regions  
of low uncertainty)

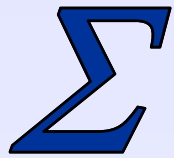




## ROI classification using RVM



Each ROI is classified as target or clutter by the RVM.



## Results on pressure plate detection

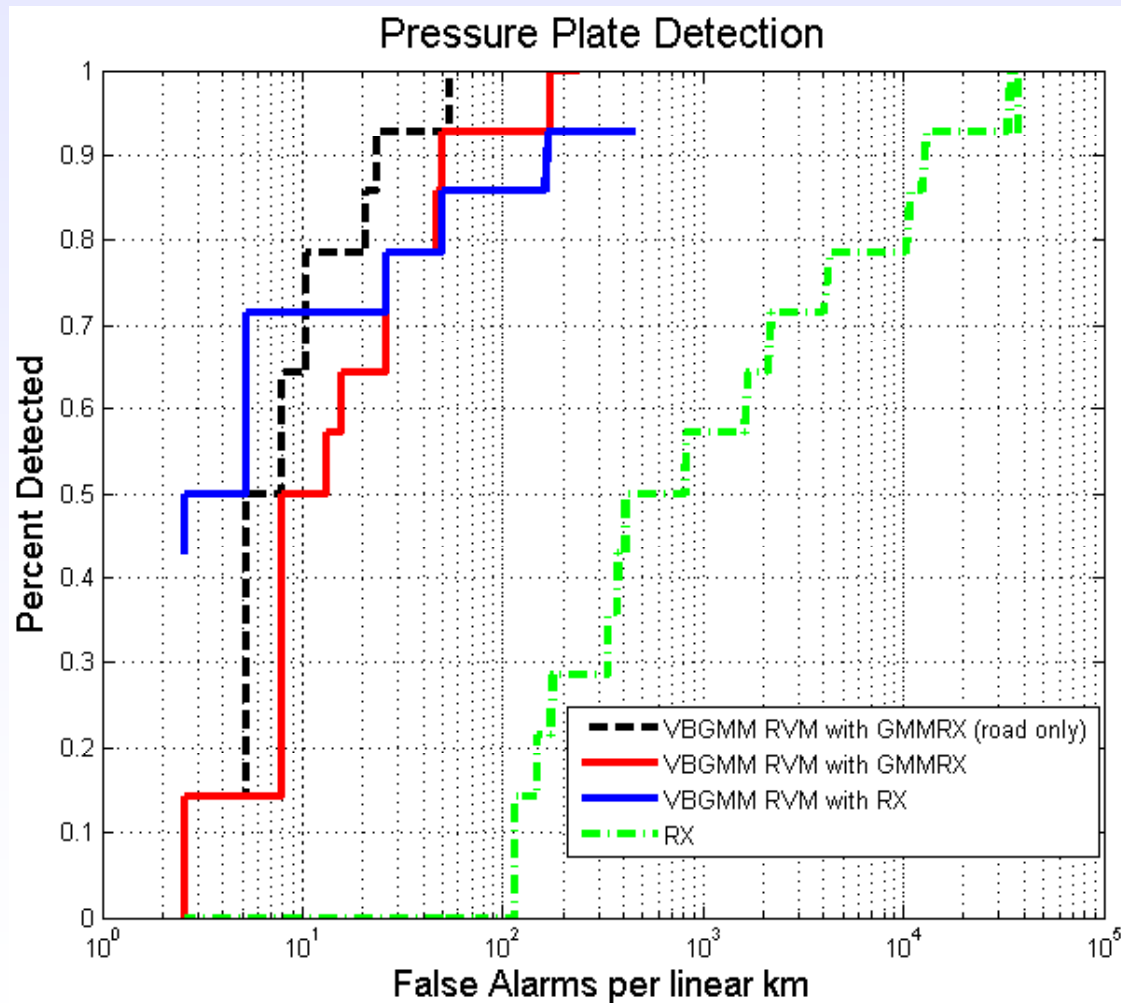
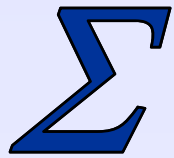


Image: scan\_1500\_20\_off\_cm\_EW\_run1\_090723\_063623\_rad\_mfilt\_median\_3band\_12600-14700\_TRIGEO\_rotreg\_merge\_geomerge.xv



## Results on 155 round detection

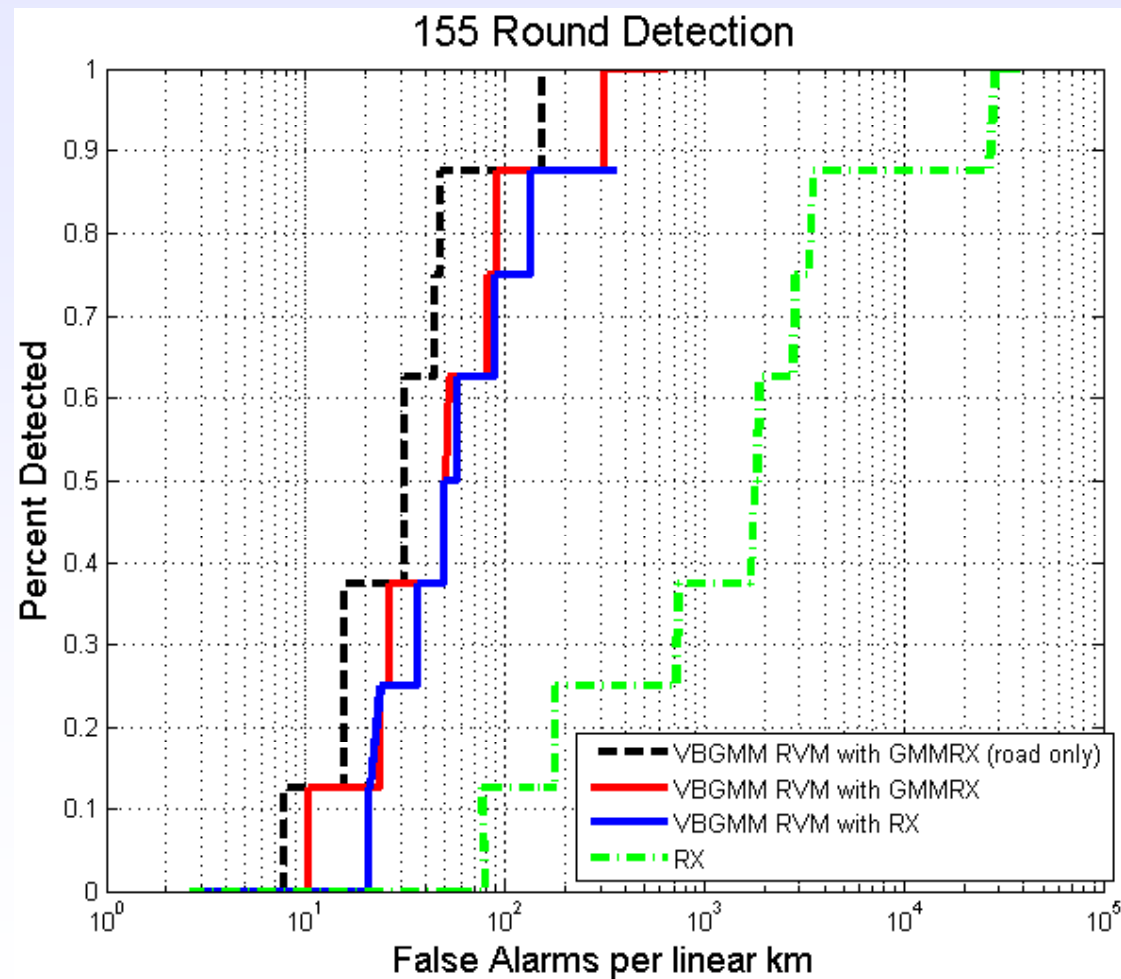
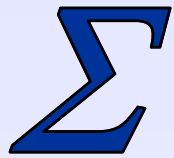


Image: scan\_1500\_20\_off\_cm\_EW\_run1\_090723\_063623\_rad\_mfilt\_median\_3band\_12600-14700\_TRIGEO\_rotreg\_merge\_geomerge.xv



## Results empty hole detection

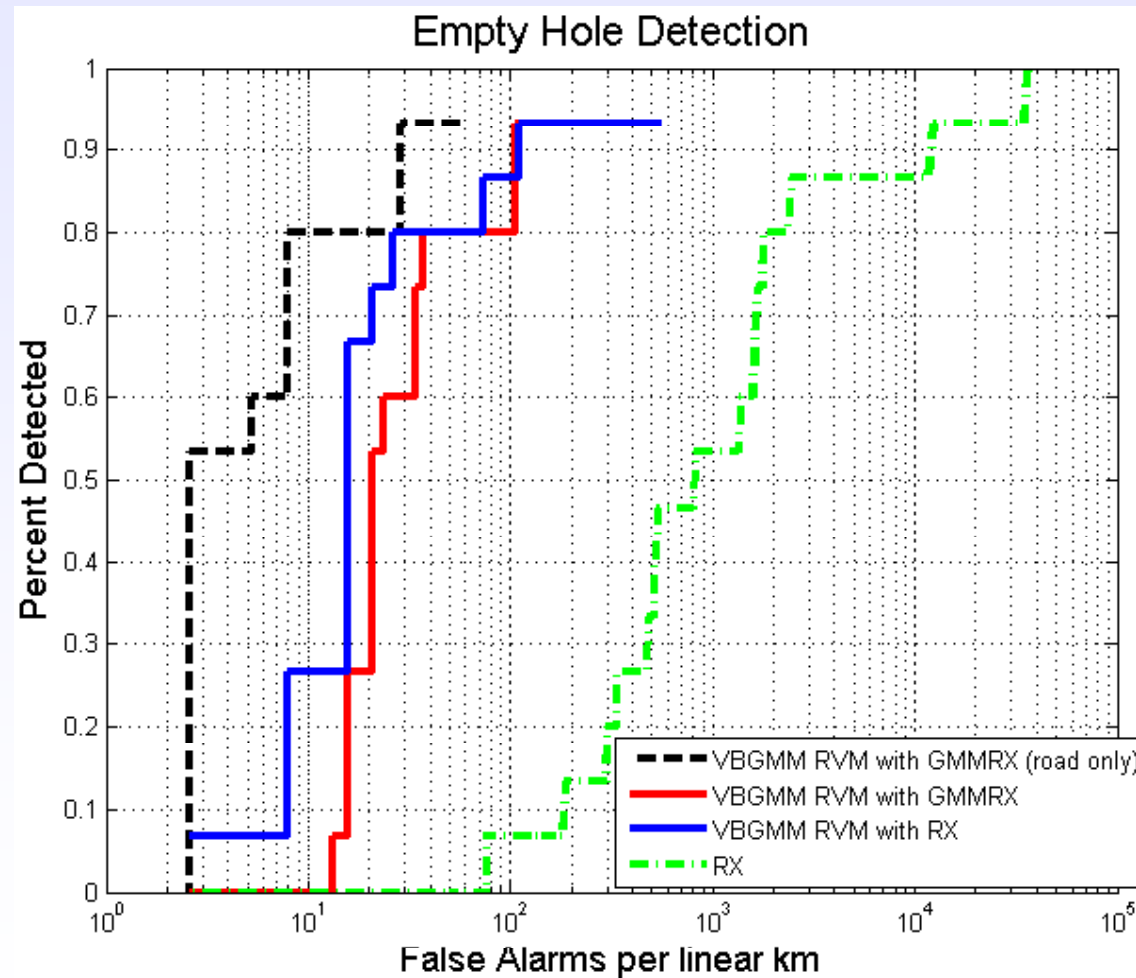
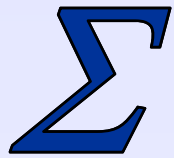


Image: scan\_1500\_20\_off\_cm\_EW\_run1\_090723\_063623\_rad\_mfilt\_median\_3band\_12600-14700\_TRIGEO\_rotreg\_merge\_geomerge.xv



## Results on pressure plate, 155 round, and empty hole detection

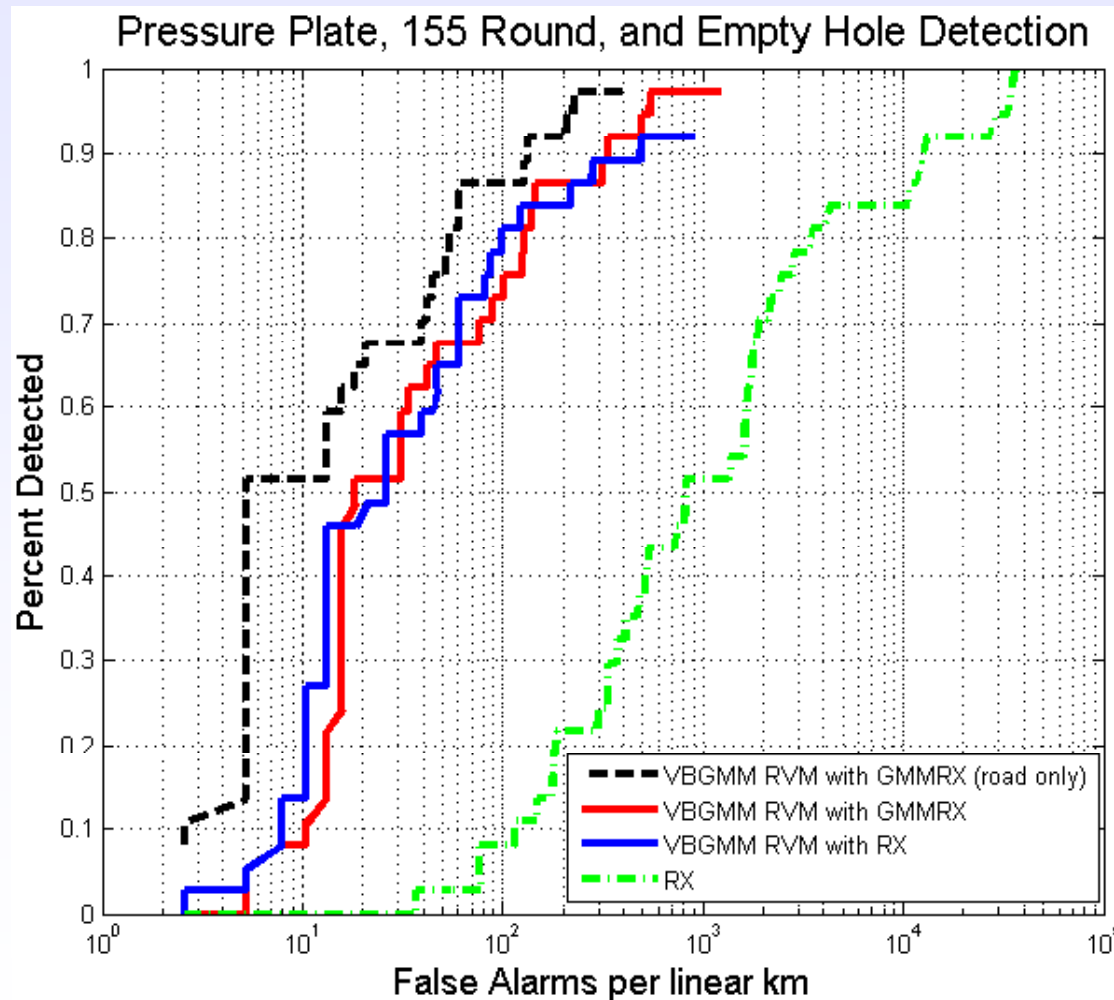
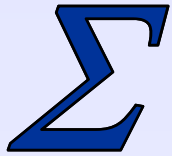


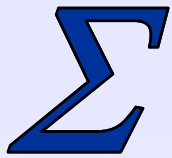
Image: scan\_1500\_20\_off\_cm\_EW\_run1\_090723\_063623\_rad\_mfilt\_median\_3band\_12600-14700\_TRIGEO\_rotreg\_merge\_geomerge.xv





## Summary

- **The comprehensive approach, using both spectral and spatial features, VBGMM for image segmentation to find ROIs, and RVM to classify ROIs that are targets works far better than the windowed RX detector alone in detecting buried targets.**
- **Pressure plates are the target best detected, and the 155 rounds are the most difficult to detect.**
- **GMMRX as a feature used in the comprehensive approach performs best at higher probability of detection levels, particularly when restricting the search zone only to the road.**
- **In a setting where targets are placed within a more heterogeneous background (i.e., off road or side of road), the RX feature would likely miss many more targets than GMMRX, providing a clear advantage in using GMMRX.**



## Follow On Work

---

- **Improve target detection by representing spatial pixel blocks of spectral data as a mixture of base distributions, and performing unsupervised clustering using the Dirichlet process.**
- **Develop a fully Bayesian approach to emissivity and temperature extraction from multiband radiance data.**
- **Consider a noise model in buried target detection within a fully Bayesian setting.**